

FCC SOFTWARE, FORMS AND INSTRUCTIONS

FCC SOFTWARE

The FCC Remote Electronic Access System was developed to provide auction applicants the opportunity to fully participate in an FCC auction from their offices. It includes various software, with which applicants can:

1. File an FCC Form 175 application;
2. Review other FCC Form 175 applications filed;
3. Submit and withdraw bids and submit activity rule waivers;
4. Receive auction messages/announcements and submit suggestions;
5. Create and download customized round results files; and
6. File an FCC long form application.

In 1995, the FCC issued a *Report and Order* in WT Docket No. 95-69, FCC 95-308, 60 FR 38,276 (July 26, 1995) establishing fair and reasonable charges for auction software and on-line access to the FCC's wide area network to use the software. It established the following schedule of charges:

	SOFTWARE	ACCESS PER MINUTE
FCC Form 175 Filing	FREE	FREE
FCC Form 601 Filing	FREE	FREE
FCC Form 175 Review	FREE	\$2.30
FCC Form 601 Review	FREE	TEMPORARILY FREE
Bid Submission	\$175.00	\$2.30
Messages/Announcements	FREE	\$2.30
Suggestion Box	FREE	\$2.30
Round Results Viewer	FREE	\$2.30
Bidding Analysis	FREE	Off-Line

An order form for the FCC Remote Bidding Software is included at Tab A of this Bidder Information Package. Orders for software must be received by the FCC no later than **5:30 p.m.**

ET, September 1, 1998. Payments may be made by credit card (Visa or Mastercard only) or check made payable to the Federal Communications Commission or "FCC" (see order form).

All other auction software can be downloaded from the FCC's Internet site (<http://www.fcc.gov>) or Bulletin Board System (202-682-5851, modem settings 8,N,1). Access charges will appear on the customer's long distance telephone bill.

ELECTRONIC FILING AND REVIEW OF THE FCC FORM 175

The Commission has implemented a remote access system to allow applicants to submit their FCC Form 175 applications electronically. The remote access system for initial filing of the FCC Form 175 applications will generally be available 24 hours per day beginning July 20, 1998. FCC Form 175 applications that are filed electronically using this remote access system must be submitted and confirmed by **5:30 p.m. ET on August 17, 1998**. Late applications or unconfirmed submissions of electronic data will not be accepted. The electronic filing process consists of an initial filing period and a resubmission period to make minor corrections.

Applicants who wish to file their FCC Form 175 electronically or review other FCC Form 175 applications on-line will need to meet the following minimum hardware and software requirements:

Minimum Hardware Requirements

- o CPU: Intel Pentium
- o RAM: 16 MB
- o Modem: v.32bis 14.4kbps Hayes compatible modem or faster
- o Monitor: VGA or above
- o Mouse or other pointing device

For applicants using Microsoft Windows 3.1x:

- o Hard Disk: 10 MB available disk space to install the FCC Auctions PPP Dialer

Minimum Software Requirements

- o Operating System: Microsoft Windows 95 or Microsoft Windows 3.1x
- o PPP Dialer:
 - For Windows 95: Use the Dial-Up Networking feature included with Windows 95
 - For Windows 3.1x: Use the FCC Auctions PPP Dialer available for download on the FCC Internet Site and the FCC Bulletin Board System
- o Web Browser:
 - Netscape Navigator 3.01 or higher
 - or
 - Microsoft Internet Explorer 3.02 with the file-upload add-on or higher

Note: If you are running Windows in a networked environment, check with your local network administrator for any potential conflicts with the FCC Auctions PPP Dialer. This usually includes any TCP/IP installed network protocol.

Note: Throughout these instructions, “enter” means to type the appropriate information and then press the ENTER key.

Connecting to the FCC Network

To file or review applications electronically, you must first connect to the FCC Network using a PPP (Point-to-Point Protocol) Dialer. The following PPP Dialer options are available:

For Windows 95: Use the Dial-Up Networking feature included with Windows 95

For Windows 3.1x: Use the FCC Auctions PPP Dialer available for download on the FCC’s Internet Site or FCC Auction Bulletin Board System

Parties interested in filing FCC Form 175 applications electronically will connect to a toll-free 800 number telephone service.

Similarly, parties interested in reviewing FCC Form 175 applications electronically will connect to a 900 number telephone service at a charge of \$2.30 per minute. The first minute of connection time to the 900 number service will be at no charge.

The PPP Dialer will establish a point-to-point connection from your PC to the FCC Network. *This point-to-point connection is not routed through the Internet.*

For instructions regarding how to connect to the FCC Network using Dial-Up Networking in Windows 95, see the *Accessing the FCC Network Using Windows 95* section.

For instructions regarding how to connect to the FCC Network with the FCC Auctions PPP Dialer in Microsoft Windows 3.1x, see the *Accessing the FCC Network Using Windows 3.1x* section.

Submitting or Reviewing FCC Form 175 Applications

After you have connected to the FCC Network, start your Web browser. In your browser’s *Location* field (Netscape 3.x), *Netsite* field (Netscape 4.x), or *Address* field (Internet Explorer), enter the appropriate Universal Resource Locator (URL) as follows:

- For Form 175 Submit, enter ***http://wtbwww04.fcc.gov*** and then click **Form 175 Submit**

- For Form 175 Review, enter ***http://wtbwww03.fcc.gov*** and then click **Form 175 Review**

When you have finished, exit the Web browser, then disconnect from the FCC Network.

Help

For technical assistance with installing or using FCC software, contact the FCC Technical Support Hotline at (202) 414-1250 (V) or (202) 414-1255 (TTY). The FCC Technical Support Hotline is generally available Monday through Friday, from 8 a.m. to 6 p.m. ET. In addition, it will be available from 9 a.m. to 5 p.m. ET, the weekend of August 15-16, 1998. *All calls to the FCC Technical Support Hotline are recorded.*

GUIDELINES FOR COMPLETION OF FCC FORMS 175 AND EXHIBITS

A. FCC Form 175

Because of the significance of the FCC Form 175 application to the auction, bidders should especially note the following:

Paper form version: Manual filers must use the May 1998 edition of the FCC Form 175 and the October 1995 edition of the 175-S (if applicable). Earlier versions of the FCC Form 175 will not be accepted. Copies of the FCC Form 175 can be obtained by calling the Commission's Forms Distribution Center at (800) 418-3676 (outside Washington, D.C.) or (202) 418-3676 (in the Washington area). Copies of the FCC Form 175 can also be obtained via Fax-On-Demand at (202) 418-0177. If applicants have any questions concerning availability of the FCC Form 175, they should call the FCC Records Management Branch at (202) 418-0210.

Items 2-5: Give a street address (not a Post Office box number) for the applicant, suitable for mail or private parcel delivery. The FCC will send all registration materials and other written communications to the applicant at this address.

Item 6: The 220 MHz auction will be the eighteenth auction conducted by the FCC. For "Auction No." in item 6 of the FCC Form 175, enter "18."

Item 7: Applicants must enter their nine-digit Taxpayer Identification Number (TIN). The taxpayer identification number will be your Employer Identification Number (EIN) or Social Security Number (SSN) as reported to the Internal Revenue Service.

Each applicant must include this same TIN when submitting amendments, additional information, or other correspondence or inquiries regarding its application, and must include this same number on each FCC Form 159 (FCC Remittance Advice Form) accompanying required auction deposits or payments. With the exception of the TIN, any information provided on this form will be available for public inspection.

Item 8: Applicants must indicate their legal classification. The May 1998 version of FCC Form 175 requires the applicant to classify itself as an individual, joint venture, partnership, trust, corporation, consortium, association, limited liability company (LLC) or government entity.

Items 10: Applicants are also requested to indicate their status as a rural telephone company, minority-owned business and/or women-owned business, so that the FCC can monitor its performance in promoting economic opportunities for these designated entities. Be advised that this is the sole opportunity applicants have to elect small business status and bidding credit level (if applicable), and there is no opportunity to change the election once the short-form filing deadline passes.

- Very small business or small business applicants should enter the applicable bidding credit in Item 10. Applicants should be aware that this is the sole opportunity that they will have to elect the appropriate bidding credit.

Item 11: For each license on which they seek bidding eligibility, applicants must identify the market number in the Market No. column, and the frequency block or blocks in the Frequency Block/Channel No. set of columns. The market number for each EA, EAG or Nationwide license is listed in Attachment A. Applicants that wish to bid on all licenses in a given frequency block or blocks should check the "ALL" box in the Market No. column and list the frequency block or blocks desired in the Frequency Block/Channel No. headings. If filing manually, the FCC Form 175 provides space to list only five markets, and applicants should use one or more FCC Forms 175-S to list any additional markets.

Applicants should identify in Item 11 all licenses on which they want to be eligible to bid in the auction. Be advised that there is no opportunity to change this list once the short-form filing deadline passes. The FCC auction system will not accept bids on licenses for which an applicant has not applied on its FCC Form 175.

Item 12: Applicants must list the name(s) of the person(s) (no more than three) authorized to represent them at the auction. Only those individuals listed on the FCC Form 175 will be authorized to place or withdraw bids for the applicant during the auction.

Certifications: Applicants should carefully read the list of certifications on the FCC Form 175. These certifications help to ensure a fair and competitive auction and require, among other things, disclosure to the Commission of certain information on applicant ownership and agreements or arrangements concerning the auction. Submission of an FCC Form 175 application constitutes a representation by the certifying official that he or she is an authorized representative of the applicant, has read the form's instructions and certifications, and that the contents of the application and its attachments are true and correct. Submission of a false certification to the Commission may result in penalties, including monetary forfeitures, license forfeitures, ineligibility to participate in future auctions, and/or criminal prosecution.

Contact person: If the Commission wishes to communicate with the applicant by telephone or fax, those communications will be directed to the contact person identified on the FCC Form 175. Space is provided for a telephone number, fax number, and e-mail address. All written communication and registration information will be directed to the applicant's contact person at the address specified on the FCC Form 175. Applicants must provide a street address; no P.O. Box addresses may be used.

Signature: Manually filed FCC Forms 175 must bear an original signature. Absence of an original signature will result in dismissal of the application and disqualification of the applicant from participating in the auction. (Applicants filing electronically should type the name of the certifying official in the Name of Person Certifying block.)

Paper or Diskette Copies: For this auction the FCC will accept, in lieu of paper copies, a 3.5-

inch diskette which contains files of all exhibit documentation attached to the FCC Form 175. Applicants may use a variety of file formats including Word, Word Perfect, Excel, Lotus, and ASCII text and should verify that the files contain all exhibit information.

Completeness: Applicants must submit all information required by the FCC Form 175 and by applicable rules, including a certifying signature on manual filings. **Failure to submit required information by the resubmission date will result in dismissal of the application and inability to participate in the auction.** *See* 47 C.F.R. § 1.2105(b).

Continuing Accuracy: Each applicant is responsible for the continuing accuracy and completeness of information furnished in the FCC Form 175 and its exhibits. *See* 47 C.F.R. § 1.65. It is the FCC's position that during the conduct of an auction, ten business days from a reportable change is a reasonable period of time. Applicants are reminded that Certification 6 on the FCC Form 175 includes consent to be audited.

B. Exhibits and Attachments

In addition to the FCC Form 175 itself, applicants must submit additional information required by the FCC's rules. Although the FCC does not require a particular format for this information, it has developed the following guidelines that will facilitate the processing of short-form applications. The FCC encourages applicants filing both electronically and manually to submit this information using the following format.

Exhibit A -- Applicant Identity and Ownership Information: 47 C.F.R. § 1.2105(a)(2)(ii) requires each applicant to fully disclose the real party or parties-in-interest in an exhibit to its FCC Form 175 application. The information should provide the name, citizenship, and address of all partners, if the applicant is a partnership; of a responsible officer or director, if the applicant is a corporation; of the trustee, if the applicant is a trust; or, if the applicant is none of the foregoing, of a principal or other responsible person.

Exhibit B -- Agreements with Other Parties/Joint Bidding Arrangements: Applicants must attach an exhibit identifying all parties with which they have entered into any agreements, arrangements or understandings which relate in any way to the licenses being auctioned, including any relating to the post-auction market structure. *See* 47 C.F.R. § 1.2105(a)(2)(viii).

Be aware that pursuant to Certification (4) on the FCC Form 175, the applicant certifies that it will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in the application regarding bid amounts, bidding strategies, or the particular licenses the applicant will or will not bid. *See* 47 C.F.R. § 1.2105(a)(2)(ix). *To prevent collusion, the Commission's Rules generally prohibit communication among applicants for the same license areas between the initial short-form applications filing deadline and the down payment on licenses won, when such communications concern bids, bidding strategies, or settlements.* 47 C.F.R. § 1.2105(c).

Exhibit C -- Status as a Very Small Business or Small Business: Applicants claiming status as

a very small business or small business must attach an exhibit regarding this status.

- Very small business or small business applicants must set forth the average gross revenues for the preceding three years for the applicant (including affiliates and controlling principals), as prescribed by 47 C.F.R. §§ 90.1017 and 1.2112. Certification that the average gross revenues for the preceding three years do not exceed the required limit is insufficient.

Exhibit D -- Information Requested of Designated Entities: Applicants owned by minorities or women, as defined in 47 C.F.R. § 1.2110(b), or that are rural telephone companies, may attach an exhibit regarding this status. This information, in conjunction with the information in Item 10, will assist the Commission in monitoring the participation of these "designated entities" in its auctions.

Exhibit E -- Miscellaneous Information: Applicants wishing to submit additional information should include it in Exhibit E.

Applicants are reminded that all information required in connection with applications to participate in spectrum auctions is necessary to determine the applicants' qualifications, and as such will be available for public inspection. Required proprietary information may be redacted, or confidentiality may be requested, following the procedures set forth in 47 C.F.R. § 0.459. Such requests must be submitted in writing to Amy Zoslov, Chief, Auctions and Industry Analysis Division, Wireless Telecommunications Bureau, Federal Communications Commission, 2025 M Street, N.W., Room 5202, Washington, D.C. 20554 (with a separate copy mailed to Frank Stilwell, Auctions and Industry Analysis Division), in which case the applicant must indicate in Exhibit E that it has filed a confidentiality request. Because the required information bears on applicants' qualifications, the FCC envisions that confidentiality requests will not be routinely granted.

Waivers: Applicants requesting waiver of any rules must submit a statement of reasons sufficient to justify the waiver sought.

Application to Participate in an FCC Auction
(Read Instructions on Back Before Completing)

Special Use				
FCC Use Only				

OMB Approval 3060-0600

Estimated Average Burden
Per Response: 45 Minutes

1. Applicant

8 Applicant Classification: Individual Joint Venture
 Partnership Trust Corporation Consortium
 Association LLC Govt. Entity

2. Mail Address (No P.O. Boxes)

9. Reserved for FCC Use Only

10. Applicant Status:
 Small Business None

3. City _____ **4. State** _____ **5. ZIP Code** _____

____ % Bidding Credit Eligibility

6. Auction Number _____ **7. Taxpayer Identification No.** _____

Rural telephone company
 Minority owned business
 Woman owned business

11. Markets and Frequency Blocks /Channels for which you want to bid. If more than 5 markets, use supplemental form (FCC 175-S).

Market No.	Frequency Block/Channel No.
ALL <input type="checkbox"/>	Enter Frequency Block /Channel Number(s) or Letter(s) or Check All ALL <input type="checkbox"/>
(a)	
(b)	
(c)	
(d)	
(e)	

Check here if supplemental forms 175-S are attached. Indicate number of supplemental forms 175-S attached: _____
 Check here if exhibits are attached. Indicate number of supplemental exhibits attached: _____

12. Person(s) authorized to make or withdraw a bid (Typed/Printed Name)

(a)	(b)	(c)
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Certification: I certify the following:

-) that the applicant is legally, technically, financially and otherwise qualified pursuant to 308(b) of the Communications Act and the Commission's Rules and is in compliance with the foreign ownership provisions contained in Section 310 of the Communications Act.
-) that the applicant is the real party in interest in this application and that there are no agreements or understandings other than those specified in this application (see Instructions for certification), which provide that someone other than the applicant shall have an interest in the license.
-) that the applicant is aware that, if upon Commission inspection, this application is shown to be defective, the application may be dismissed without further consideration, and certain fees forfeited. Other penalties may also apply:
- (4) that the applicant has not entered into and will not enter into any explicit or implicit agreements or understandings of any kind with parties not identified in this application regarding the amount to be bid, bidding strategies or the particular license on which the applicant or other parties will or will not bid.
- (5) that the applicant, or any party to this application, is not subject to a denial of federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988.
- (6) that, if applicant status is claimed in block 10, the applicant is eligible for any special provisions set forth in the Commission's Rules applicable to this auction and consents to audits, as set forth in the Commission's Rules, to verify such status.
- (7) that the applicant is and will, during the pendency of its application(s), remain in compliance with any service specific qualifications applicable to the licenses on which the applicant intends to bid including, but not limited to, financial qualifications.
- (8) that the applicant is not in default on any payment for Commission licenses and that it is not delinquent on any non-tax debt owed to any federal agency.

I declare, under penalties of perjury, that I am an authorized representative of the above-named applicant for the license(s) specified above, that I have read the instructions and the foregoing certification and all matters and things stated in this application and attachments, including exhibits, are true and correct.

Typed/Printed Name of Person Certifying	Title of Person Certifying	Date
	Contact Person	Telephone No.
Signature of Person Certifying (Blue Ink ONLY)	E-mail address	FAX No.

Instructions

Item 1. Applicant Name: Enter the legal name of the person or entity applying to participate in an auction. If other than an individual, insert the exact name of the entity as it appears on the legal document(s) establishing the entity such as the Articles of Incorporation.

[NOTE: Applicants who have entered into an arrangement(s) of any kind relating to the license(s) specified in this application must provide additional information. See certification instructions below.]

Item 2. Applicant Mailing Address: Enter the street address to which the entity wants future correspondence relating to this application to be mailed. Indicate street numbers or rural route numbers as appropriate.

Item 3. City: Enter the city name for the applicant mailing address.

Item 4. State: Enter the two-letter state abbreviation.

Item 5. ZIP Code: Enter the ZIP Code for the applicant address.

Item 6. Auction Number: Enter the appropriate auction number supplied by the Commission in the Public Notice announcing the auction.

Item 7. Taxpayer Identification Number: Enter your nine-digit Taxpayer Identification Number (TIN). The Taxpayer Identification Number will be your Employer Identification Number (EIN) or your Social Security Number (SSN), as reported to the Internal Revenue Service (IRS).

Item 8. Applicant Classification: Place an [X] in the appropriate box preceding the type of entity to indicate the type of legal entity applying. Limited Liability Companies should check the "LLC" box. Unincorporated Associations should check the 'Association' box. Governmental Entities should check the 'Gov't Entity' box. "Limited Partnerships" should check the Partnership box.

Item 9. Reserved for FCC Use Only.

Item 10. Applicant Status: Place an [X] in the appropriate box or boxes preceding the appropriate designated entity type. This information will be used for determining the applicant's eligibility for any special provisions available for designated entities. See Part 1 and the specific Commission Rules governing the auctionable service for definitions of the different types of designated entities. Designated entities who qualify for bidding credits should specify which bidding credit percentage applies. Information concerning designated entities that are ineligible for bidding credits will be collected for statistical purposes only.

Item 11. Markets/Frequency Blocks: Enter the code for the market(s) on which you want to bid in the column under Market No. The codes will be provided by the Commission in a Public Notice. Use a separate line (a-e) for each different market. If you want to be eligible to bid on licenses in more than five markets you must use Supplemental Form, FCC 175-S. Place an [X] in the box below the table to indicate there are supplementary forms attached, and specify the number of supplemental forms. If, however, you want to be eligible to bid on licenses in all markets, you should place an [X] in the box marked "ALL", and you need not submit supplementary forms.

After each market, list the letter(s) or number(s) for the frequency block(s) or channel(s) on which you want to bid. These codes will be provided by the Commission in a Public Notice. For example, If you wanted to bid on two frequency blocks in market (a) and one block in market (b), to be eligible you would enter the codes for the two desired frequency block(s) in market (a) in columns 1 and 2 on line (a) and leave the remaining columns on that line blank. On line (b) you would enter in column 1 the code for the frequency block you want in market (b) and leave the remaining columns on the line blank. If you want to be eligible to bid on all frequency blocks in all the markets you specified, you should place an [X] in the box marked "ALL". Exhibits: If exhibits are attached to the application, check the box provided and indicate the number of exhibits.

Item 12. Certification: Type or print the name(s) of the person(s) you wish to designate as an authorized representative(s). Only authorized representatives will be allowed to make or withdraw bids at an auction. You may list a maximum of three (3) authorized representatives. Enter the typed/printed name of the individual authorized to sign the application, his/her title, date signed, authorized individual's signature, the name of a person familiar with the application (contact person) and the phone number, fax number (including area code), and e-mail address of the contact person. All applications must bear an original signature of a person authorized to sign on behalf of the applicant. List in the space provided below or in an exhibit the name, citizenship and address of all partners, if the applicant is a partnership; of a responsible officer or director, if the applicant is a corporation; of the trustee, if the applicant is a trust or, if the applicant is none of the foregoing, list the name and address of a principal or other responsible person. In addition, for applicants claiming eligibility for bidding credits, compute the applicant's gross revenues, and its affiliates' gross revenues in accordance with the Commission's Rules governing the auctionable service. See the Commission's Rules governing the auctionable service.

Also, list in the space provided below or in an exhibit all parties with whom the applicant has entered into an agreement(s), of any kind, relating to the licenses being auctioned including such agreements(s) relating to the post-auction market structure. See Part 1. Subpart Q of the Commission's Rules.

NOTE: The Commission's Public Notice announcing the auction for the licenses on which you are interested in bidding contains information essential to completing this form correctly. You should also consult the specific rules governing the service in which you are applying. Forms which are completed incorrectly may be dismissed without an opportunity for resubmission.

If additional space is needed for listing additional information required by the Certification, attach a separate sheet(s).

The Communications Act of 1934, as amended, authorized the FCC to collect the personal information requested in this form. We will use the information provided to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of an FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information on your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC or (b) any employee of the FCC; or (c) The United States Government, is a party to the proceeding before the body or has an interest in the proceeding. If you owe a delinquent debt to the federal government, the TIN and other information provided may also be disclosed to the Department of Treasury Financial Management Services, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized. With the exception of the TIN any information provided on this form will be available for public inspection.

Public reporting burden for this information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data needed, gathering and maintaining the data needed, and completing and reviewing the collection. You are not required to respond to a collection of information sponsored by the Federal Government, unless it displays a currently valid OMB control number. The control number for this collection is 3060-0600. Send comments regarding this burden estimate or other aspect of this collection of information, including suggestions for reducing the burden, to the Federal Communications Commission, Paperwork Reduction Project (3060-0600), Performance Evaluation and Records Management Washington, D.C. 20554. DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS!

THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31, 1975, 5 U.S.C. 522a(e)(3) AND THE PAPERWORK REDUCTION ACT OF 1995, P.L. 104-13, U.S.C. 3507.

May 1999

Application to Participate in an FCC Auction
Supplemental Form

(This form is to be used in conjunction with FCC 175)

Applicant	Auction No.	FCC Account No.	Page - OF -
Street Address/City (No P.O. Boxes)		State	ZIP Code

	Market No.	Frequency Block/Channel No.										
		1	2	3	4	5	6	7	8	9	10	11
(f)												
(g)												
(h)												
(i)												
(j)												
(k)												
(l)												
(m)												
(n)												
(o)												
(p)												
(q)												
(r)												
(s)												
(t)												
(u)												
(v)												
(w)												
(x)												
(y)												

The solicitation of personal information requested in this form is authorized by the Communications Act of 1934, as amended. The Commission will use the information to determine whether grant of this application is in the public interest. In reaching that determination, or for law enforcement purposes, it may become necessary to refer personal information contained in this form to another government agency. In addition, all information provided in this form will be available for public inspection. If information requested on this form is not provided, processing may be delayed or the application may be returned without action pursuant to the Commission's rules. Your response is required to obtain the requested authority.

Public reporting burden for this information is estimated to average 15 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Federal Communications Commission, Records Management Branch, Washington, DC 20554 Paperwork Reduction Project (3060-0600). DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS! THE FOREGOING NOTICE IS REQUIRED BY THE PRIVACY ACT OF 1974, P.L. 93-579, DECEMBER 31 1976, U.S.C. 522(e)(3) AND THE PAPERWORK REDUCTION ACT OF 19'60, P.L. 96-611, DECEMBER 11, 1980, 44 U.S.C. 3507

AUCTION-SPECIFIC INSTRUCTIONS FCC REMITTANCE ADVICE, FCC FORM 159 UPFRONT PAYMENTS

The following information supplements the standard instructions for FCC Form 159, and is provided to help ensure correct completion of FCC Form 159 for **upfront** payments for 220 MHz Service auction (Auction No. 18). Applicants need to complete FCC Form 159 carefully, since:

- Mistakes may affect their bidding eligibility; and
- Lack of consistency between information set forth in FCC Form 159, FCC Form 175, long-form application, and correspondence about an application may cause processing delays.

Therefore appropriate cross-references between the FCC Form 159 Remittance **Advice** and the FCC Form 175 Short Form Application are described below.

<u>Block Number</u>	<u>Required Information</u>
1	LOCKBOX # - Enter "358430"
2	Payer Name - Enter the name of the person or company making the payment. If the applicant itself is the payer, this entry would be the same as FCC Form 175, block 1 of the FCC Form 175.
3	Total Amount Paid – Enter the amount of the upfront payment associated with the FCC Form 159.
4-8	Street Address, City, State, ZIP Code – Enter the street mailing address (not Post Office box number) where mail should be sent to the payer. If the applicant is the payer, these entries would be the same as FCC Form 175, blocks 2 through 5.
9	Daytime Telephone Number – Enter the telephone number of a person knowledgeable about this upfront payment.
10	Country Code – For addresses outside the United States, enter the appropriate postal country code (available from the Mailing Requirements Department of the U.S. Postal Service).
20A	Payment Type Code – Enter "A22U"
21A	Quantity – Enter the number "1"

22A Amount Due - Enter the total **upfront** payment due.

23A FCC Code **1** - Enter the number "**18**" (indicating Auction No. 18).

26 Applicant TIN - Same as FCC Form 175, block 7.

- Do not use Remittance Advice (Continuation Sheet), FCC Form 159-C, for **upfront** payments.
 - If applicant is different from the payer, complete blocks 11 through 18 for the applicant, using the same information shown on FCC Form 175. Otherwise leave them blank.
 - Since credit card payments will not be accepted for this auction, leave this area blank.

READ INSTRUCTIONS CAREFULLY BEFORE PROCEEDING

APPROVED BY OMB 3060-0589

FEDERAL COMMUNICATIONS COMMISSION
REMITTANCE ADVICE

SPECIAL USE

FCC USE ONLY

(1) LOCKBOX #

PAGE NO. _____ OF _____

SECTION A - PAYER INFORMATION

(2) PAYER NAME (if paying by credit card, enter name exactly as it appears on your card)

(3) TOTAL AMOUNT PAID (dollars and cents)

\$

(4) STREET ADDRESS LINE NO. 1

(5) STREET ADDRESS LINE NO. 2

(6) CITY

(7) STATE

(8) ZIP CODE

(9) DAYTIME TELEPHONE NUMBER (Include area code)

(10) COUNTRY CODE (if not in U.S.A.)

IF PAYER NAME AND THE APPLICANT NAME ARE DIFFERENT, COMPLETE SECTION B
IF MORE THAN ONE APPLICANT, USE CONTINUATION SHEETS (FORM 159-C)

SECTION B - APPLICANT INFORMATION

(11) APPLICANT NAME (if paying by credit card, enter name exactly as it appears on your card)

(12) STREET ADDRESS LINE NO. 1

(13) STREET ADDRESS LINE NO. 2

(14) CITY

(15) STATE

(16) ZIP CODE

(17) DAYTIME TELEPHONE NUMBER (Include area code)

(18) COUNTRY CODE (if not in U.S.A.)

COMPLETE SECTION C FOR EACH SERVICE, IF MORE BOXES ARE NEEDED, USE CONTINUATION SHEETS (FORM 159-C)

SECTION C - PAYMENT INFORMATION

(19A) FCC CALL SIGN/OTHER ID

(20A) PAYMENT TYPE CODE (PTC)

(21A) QUANTITY

(22A) FEE DUE FOR (PTC) IN BLOCK 20A

FCC USE ONLY

\$

(23A) FCC CODE 1

(24A) FCC CODE 2

(19B) FCC CALL SIGN/OTHER ID

(20B) PAYMENT TYPE CODE (PTC)

(21B) QUANTITY

(22B) FEE DUE FOR (PTC) IN BLOCK 20B

FCC USE ONLY

(23B) FCC CODE 1

(24B) FCC CODE 2

(19C) FCC CALL SIGN/OTHER ID

(20C) PAYMENT TYPE CODE (PTC)

(21C) QUANTITY

(22C) FEE DUE FOR (PTC) IN BLOCK 20C

FCC USE ONLY

(23C) FCC CODE 1

(24C) FCC CODE 2

(19D) FCC CALL SIGN/OTHER ID

(20D) PAYMENT TYPE CODE (PTC)

(21D) QUANTITY

(22D) FEE DUE FOR (PTC) IN BLOCK 20D

FCC USE ONLY

(23D) FCC CODE 1

(24D) FCC CODE 2

SECTION D - TAXPAYER INFORMATION (REQUIRED)

(25) PAYER TIN

(26) COMPLETE THIS BLOCK ONLY IF APPLICANT NAME IN B-11 IS DIFFERENT FROM PAYER NAME IN A-2

0

APPLICANT TIN

0

SECTION E - CERTIFICATION

(27) CERTIFICATION STATEMENT

I, _____, Certify under penalty of perjury that the foregoing and supporting information are true and correct to the best of my knowledge, information and belief. SIGNATURE _____

SECTION F - CREDIT CARD PAYMENT INFORMATION

(28) MASTERCARD

MASTERCARD/VISA ACCOUNT NUMBER:

EXPIRATION DATE:

MONTH YEAR

VISA

I hereby authorize the FCC to charge my VISA or MASTERCARD

AUTHORIZED SIGNATURE

DATE

for the service(s)/authorization(s) herein described.

SEE PUBLIC BURDEN ESTIMATE ON REVERSE

FCC FORM 159 JULY 1997 (REVISED)

REMITTANCE ADVICE (Continuation Sheet)

PAGE NO. _____ OF _____

USE THIS SECTION ONLY FOR EACH ADDITIONAL APPLICANT

SECTION BB - ADDITIONAL APPLICANT INFORMATION

(1) APPLICANT NAME (if paying by credit card, enter name exactly as it appears on your card)

(2) STREET ADDRESS LINE NO. 1

(3) STREET ADDRESS LINE NO. 2

(4) CITY (15) STATE (16) ZIP CODE

(7) DAYTIME TELEPHONE NUMBER (include area code) (8) COUNTRY CODE (if not in U.S.A.)

IF MORE BOXES ARE NEEDED, USE ADDITIONAL FCC 159-C CONTINUATION SHEETS TO LIST EACH SERVICE

SECTION CC - PAYMENT INFORMATION

(19A) FCC CALL SIGN/OTHER ID	(20A) PAYMENT TYPE CODE (PTC)	(21A) QUANTITY	(22A) FEE DUE FOR (PTC) IN BLOCK 20A	FCC USE ONLY
(23A) FCC CODE 1		(24A) FCC CODE 2		
(19B) FCC CALL SIGN/OTHER ID	(20B) PAYMENT TYPE CODE (PTC)	(21B) QUANTITY	(22B) FEE DUE FOR (PTC) IN BLOCK 20B	FCC USE ONLY
(23B) FCC CODE 1		(24B) FCC CODE 2		
(19C) FCC CALL SIGN/OTHER ID	(20C) PAYMENT TYPE CODE (PTC)	(21C) QUANTITY	(22C) FEE DUE FOR (PTC) IN BLOCK 20C	FCC USE ONLY
(23C) FCC CODE 1		(24C) FCC CODE 2		
(19D) FCC CALL SIGN/OTHER ID	(20D) PAYMENT TYPE CODE (PTC)	(21D) QUANTITY	(22D) FEE DUE FOR (PTC) IN BLOCK 20D	FCC USE ONLY
(23D) FCC CODE 1		(24D) FCC CODE 2		

SECTION DD - TAXPAYER INFORMATION

(25) COMPLETE THIS BLOCK ONLY IF SECTION BB IS APPLICABLE

APPLICANT TIN 0

**INSTRUCTIONS FOR COMPLETING
FCC FORMS 159 AND 159-C
(REMITTANCE ADVICE AND CONTINUATION SHEET)**

NOTE: All required blocks must be completed or it may result in a delay in processing or the return of your application.

(1) **Lockbox** No. - Enter the appropriate six-digit P.O. Box Number as found in either the FCC Fee Filing Guide for the service requested, or as specified in the Public Notice.

SECTION A

(2) **Payer Name** - Enter the name of the person or company (i.e., maker of the check) making the payment. If using an individual name, enter the last name, first name, and middle initial). If a company, enter the name used commercially. If paying by credit card, enter the name exactly as it appears on your card. (You must also complete Section D- block 25 of the taxpayer information at the bottom of the Form 159.)

(3) **Total Amount Paid** - Enter the total amount of your remittance.

(4) **Street Address** (Line 1) - The street address or post office box number to which correspondence should be sent.

(5) **Street Address** (Line 2) - This line may be used if further identification of the address is required.

(6) **City** - The name of the city associated with the street address given in (4).

(7) **State** - If the payer has a United States mailing address enter the appropriate two-digit state abbreviation as prescribed by the U.S. Post Office. If the payer has a mailing address outside the United States, leave this section blank.

(8) **ZIP Code** - Enter the appropriate five or nine-digit ZIP code prescribed by the U.S. Post Office. If address is foreign, enter the appropriate ZIP (postal) code.

(9) **Daytime Telephone Number** - Enter the payer's ten-digit daytime telephone number, including area code. For foreign telephone numbers

include the appropriate country dialing access code, as if you were calling from the United States. This daytime number should be the number

where you can be reached during normal business hours.

(10) **Country Code** - This section is for payers who have an address outside the United States of America. Enter the appropriate code here. To obtain country code information, contact the Mailing Requirements Dept. of the U.S. Postal Service.

SECTION B

COMPLETE THIS SECTION IF THE PAYER AND APPLICANT NAMES ARE DIFFERENT.

(11) **Applicant Name, which includes Licensees, Regulatees or Debtors** - Enter the name (last, first, middle initial) as it appears on the original application or filing being submitted. If this is a company, enter name used **commercially**. If you are using this form to pay for multiple applicants with a single remittance, each applicant must be listed separately using the continuation sheet - Form 159-C. (**If the name is the same as the payer, (block 2), it is not necessary to fill out this section, MOVE TO SECTION C.**)

(You must complete Section D - block 26 of the taxpayer information at the bottom of the Form 159.)

(12) **Street Address** (Line 1) - (Same instructions as block 4 above.)

(13) **Street Address** (Line 2) - (Same instructions as block 5 above)

(14) **City** - (Same instructions as block 6 above.)

(15) **State** - (Same instructions as block 7 above.)

(16) **ZIP Code** - (Same instructions as block 8 above.)

(17) **Daytime Telephone Number** - (Same instructions as block 9 above.)

(18) **country code** - (Same instructions as block 10 above.)

SECTION C

(19) **FCC Call Sign/Other Identifier** - Enter an applicable call sign or unique FCC identifier, if any, as prescribed by the appropriate FCC Fee Filing Guide or Public Notice.

(20) **Payment Type Code (PTC)** - Enter the appropriate payment type code for the service you are requesting as found in the appropriate FCC Fee Filing Guide or Public Notice. **(Incorrect or omitted payment type codes may result in your application or filing being returned to you without further processing.)** You are allowed to file multiple actions. There are three ways "multiple actions" are defined. The following examples provide instructions on how multiple actions should be filed when using FCC Forms 159 & 159-C:

(i) If a single service allows for a quantity of **more** than one of the same action, as defined in the appropriate Fee Filing Guide or Public Notice, complete Section C. (e.g., if you are filing an ownership report in the mass media services you may pay for both your **AM & FM** stations using the same payment type code and a quantity of two as long as it can be filed in the **same lockbox**.) Blocks 23 & 24 are only to be completed when required by Public Notice.

(ii) If you are filing concurrent actions (not the **same** actions) in the same **lockbox**, on the **same** application, refer to the appropriate Fee Filing Guide or Public Notice for specific instructions as to the number of quantities allowed. Complete Section C. (e.g., you may file a regulatory fee for a CARS license and Broadcast Auxiliary license or you may file a regulatory fee for a mass media service and a common carrier service on the same FCC Form 159 by using the designated payment type codes, and quantities as defined by the Public Notices.) Complete a separate item for each action required. Blocks 23 & 24 are only to be completed when required by Public Notice.

(iii) If a single Remittance Advice is used to pay for more than one

applicant, licensee, regulatee or debtor, for permitted action(s) in the **same lockbox**, then a Continuation Sheet (159-C) must be completed for each applicant, licensee, regulatee or debtor. (e.g., if you are paying for different applicants submitting separate Domestic 214 Applications in the common carrier services, they can all be filed on one FCC Form 159 as long as they are filed in the **same lockbox**. Each additional applicant must submit a separate FCC Form 159-C and all required blocks must be completed.

Remember, if **any** of these applications fall into category (i) or (ii) above, you can follow those instructions as well. Make sure to check the appropriate FCC Fee Filing Guide or Public Notice for **any** special filing stipulations that **may** apply.

(21) **Quantity** - Enter the **total** number of actions required with this submission. Refer to the FCC Fee Filing Guide or Public Notice for information concerning multiple requests.

(22) **FEE Due for (PTC) in Block 20** - Multiply the total quantity by the fee for this payment type code and enter here.

(23) **FCC Code 1** - This section is used for special filing codes as required by the Bureau/Office or Public Notice. **Do not complete this block unless instructed to do so.**

(24) **FCC Code 2** - This section is used for special filing codes as required by the Bureau/Office or Public Notice. **Do not complete this block unless instructed to do so.**

SECTION D

(25) **Payer TIN** - Enter your Taxpayer Identification Number (TIN) following the pre-printed "0". The taxpayer identification number will either be your Employer Identification Number (EIN) or Social Security Number (SSN) as reported to the Internal Revenue Service.

(26) **Applicant TIN** - **Complete this section only if applicant name in Section B - block 11 is different from payer name in Section A, block 2.** In accordance with the Debt Collection Improvement Act of 1996, you must provide your Taxpayer Identification Number (TIN). This is

either your EIN or SSN as defined in item 25. You must provide a TIN for each applicant covered by this filing.

SECTION E

(27) **Certification Statement** - This section must be completed and signed. Failure to do so may delay the processing of your application/filing.

SECTION F

(28) **Credit Card Data** - If remitting payment by credit card, place an "x" in the appropriate block for the credit card being used - Mastercard or Visa only. Enter your credit card number and expiration date. Sign and date the FCC Form 159 to authorize your credit card payment. *(If any area required for credit card approval is incomplete, the application will be returned unprocessed.)*

Continuation sheet Form 159-C

FCC Remittance Advice Continuation Sheet (FCC Form 159-C) - Use this form for any additional services pertaining to this filing, or if you are paying for multiple applicants with a single payment. (See Sections B,C and D of the instructions to assist you in completing this form. For each additional applicant listed in Section BB of the FCC Form 159-C, you must complete Section DD - taxpayer information at the bottom of the continuation sheet. Each additional applicant must use a separate Form 159-C.

Note: Checks must be denominated in U.S. Currency and deposited in a U.S. financial institution. No checks drawn on a foreign bank will be accepted.

NOTICE TO INDIVIDUALS REQUIRED BY **THE**
PRIVACY ACT OF 1974 AND THE PAPERWORK
REDUCTION ACT

The solicitation of the personal information requested in this form is authorized by the Communications Act, Sections 8 & 9, and other debts under the Debt Collection Improvement Act of 1996. P.L. 104-134. The form will be used primarily to capture information to maintain required accounts receivable, and collect fines and debts due the Commission. AS part of the Debt Collection Improvement Act, agencies are authorized to refer specific Taxpayers Identification information which includes Employer Identification Numbers and Social Security Numbers to the Department of Treasury for further investigation and possible enforcement of a statute, rule, regulation or order. If we believe there may be a violation or potential violation of a FCC statute, regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding. If information requested on the form is not provided, processing of the application/filing may be delayed or returned without action pursuant to Commission rules.

If you owe a past due debt to the Federal Government, the taxpayer identification number (such as your social security number) and **other** information you provide may also be disclosed to the Department of the Treasury, Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized

We have estimated that each response to this collection of information will take, on average, 30 minutes. Our estimate includes the time to read the instructions, look through

existing records, gather and maintain required data, and actually review and complete the form. If you have any comments on this estimate, or on how we can improve the collection of this data to reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERM, Washington, DC 20554, Paperwork Reduction Project (3060-0589). We will also accept your comments via the Internet if you send them to jboley@fcc.gov. Please DO NOT SEND COMPLETED APPLICATION FORMS TO THIS ADDRESS.

Remember -- You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a currently valid OMB control number or if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0589.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e)(3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.

**ADVICE REFERENCE GUIDE
HOW TO USE FCC FORM 159-REMITTANCE ADVICE**

The FCC Form 159, "Remittance Advice", and FCC Form 159-C, (Continuation Sheet) is a multi-purpose form that generally accompanies (see chart below for specific instructions) any payment to the FCC (e.g., regulatory fees, processing fees, auctions payments, fines, forfeitures, Freedom of Information Act (FOIA) billings, or any other debt due). The information on this form is collected to ensure credit for full payment, to ensure you receive any refunds due, to service public inquiries, and to comply with the Debt Collection Improvement Act of 1996.

If you are using this form to:	Then:
Pay a Regulatory Fee for Private Wireless Services	You must pay your regulatory fee, in addition to your application fee at the time of renewal or at the time the original license application is requested. Consult the appropriate FCC Fee Filing Guide on where to file for this service.
Pay a Regulatory Fee for any of the Mass Media, Common Carrier, Cable, International Services, or Commercial Wireless Services	You must submit FCC Form 159 - FCC Remittance Advice. Consult the FCC's Public Notice for specific instructions, and where to file for this service.
Pay a Processing Fee for multiple applications filed within the same lockbox with a single remittance	You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service
Pay a Processing Fee for a service that does not require a specific FCC Form, (e.g. request for Special Temporary Authority)	You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service
Pay for an Auction	You must submit FCC Form 159, FCC Remittance Advice. Consult the FCC Public Notice or Bidder's Package for specific instructions, and where to file for this service.
Pay for Fines/Forfeitures, Freedom of Information Act Fees Billings or any other debts	All customers paying for any of these categories must submit a FCC Form 159, FCC Remittance Advice, and a copy of their notice or invoice to the appropriate lockbox . Please refer to the specific instructions accompanying your billing document: Pay to the address designated on the notice or invoice you received.
Pay by credit card, money order, or wire transfer	You must submit FCC Form 159, FCC Remittance Advice.
Pay by Western Union Quick Collect	You must submit FCC Form 159, FCC Remittance Advice. Consult the appropriate FCC Fee Filing Guide for where to file for this service

Note: Fee Filing Guides can be obtained by calling Forms Distribution -- (202) 41 S-3676 or 1-800-4 1 S-3676, or by calling the FCC's Fax-On-Demand service -- (202) 41 S-0177 from a fax handset.

WINNING BIDDER REQUIREMENTS:

AUCTION-SPECIFIC INSTRUCTIONS FCC REMITTANCE ADVICE, FCC FORM 159 DOWN PAYMENTS AND FINAL PAYMENTS

Specific information regarding down payments and final payments will be included in a **post-** auction public notice announcing the winning bidders.

POST-AUCTION LONG-FORM FILING

Within ten business days after release of the auction closing notice, winning bidders must submit a properly completed long-form application and required exhibits for each 220 MHz **license won** through the auction. Further filing instructions will be provided to auction winners at the close of the auction.