

EBF

FCC 603
Main Form

FCC Wireless Telecommunications Bureau
Application for Assignments of Authorization
and Transfers of Control

Approved by OMB
3060 - 0800
See instructions for
public burden estimate

1) Application Purpose (Select only one) () AD5

AA - Assignment of Authorization TC - Transfer of Control	AM - Amendment WD - Withdrawal	NT - Required Notification (for Consummation of Assignment or Transfer) EX - Request for Extension of Time (to Consummate Assignment or Transfer)
2a) If this request is for an Amendment or Withdrawal, enter the File Number of the pending application currently on file with the FCC.		File Number: HD3
2b) File numbers of related pending applications currently on file with the FCC: CF6 (ALSO SEND AN "F" IN CF5) Item Type Indicator		

Type of Transaction

3a) Is this a <i>pro forma</i> assignment of authorization or transfer of control?	TA5 () Yes No
3b) If the answer to Item 3a is 'yes', is this a notification of a <i>pro forma</i> transaction being filed under the Commission's forbearance procedures for telecommunications licenses?	TA21 () Yes No
4) For assignments of authorization only, is this a partition and/or disaggregation?	TA6 () Yes No
5a) Does this filing request a waiver of the Commission's rules? If 'Yes', attach an exhibit providing rule numbers and explaining circumstances.	AD17 () Yes No
5b) If a feeable waiver request is attached, multiply the number of stations (call signs) times the number of the rule sections and enter the results.	AD18
6) Are attachments being filed with this application? Send Attachment Record Type (AT) for each Attachment	AD19 () Yes No
7a) Does the transaction that is the subject of this application also involve transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which Commission approval is required?	TA22 () Yes No
7b) Does the transaction that is the subject of this application also involve transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required?	TA23 () Yes No

Transaction Information

8) How will the assignment of authorization or transfer of control be accomplished? Select One: () TA7 Sale or other assignment or transfer of stock Court Order Other (voting trust agreement, management contract, etc.): TA8 If required by applicable rule, attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.
9) The assignment of authorization or transfer of control of license is: TA9 () Voluntary Involuntary

Licensee/Assignor Information ← Send Entity Type - EN6 (L = Licensee, R = Assignor)

10) FCC Registration Number (FRN): EN23			
11) First Name (if individual): EN9	MI: EN10	Last Name: EN11	Suffix: EN12
12) Entity Name (if not an individual): EN8			
13) Attention To: EN21			
14) P.O. Box: EN20	And /Or	15) Street Address: EN16	
16) City: EN17	17) State: EN18	18) Zip Code: EN19	
19) Telephone Number: EN13		20) FAX Number: EN14	
21) E-Mail Address: EN15			

51) P.O. Box: EN20	And /Or	52) Street Address: EN16
53) City: EN17	54) State: EN18	55) Zip Code: EN19
56) Telephone Number: EN13	57) FAX Number: EN14	
58) E-Mail Address: EN15		

Name of Assignee/Transferee Contact Representative *K Send Entity Type - EN6 (CL = Assignee Contact CE = Transferee Contact)* (if other than Assignee/Transferee)

59) First Name: EN9	MI: EN10	Last Name: EN11	Suffix: EN12
60) Company Name: EN8			
61) P.O. Box: EN20	And /Or	62) Street Address: EN16	
63) City: EN17	64) State: EN18	65) Zip Code: EN19	
66) Telephone Number: EN13	67) FAX Number: EN14		
68) E-Mail Address: EN15			

Alien Ownership Questions

69) Is the Assignee or Transferee a foreign government or the representative of any foreign government?	HD14 () <u>Yes</u> <u>No</u>
70) Is the Assignee or Transferee an alien or the representative of an alien?	HD13 () <u>Yes</u> <u>No</u>
71) Is the Assignee or Transferee a corporation organized under the laws of any foreign government?	HD15 () <u>Yes</u> <u>No</u>
72) Is the Assignee or Transferee a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	HD16 () <u>Yes</u> <u>No</u>
73) Is the Assignee or Transferee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country? If 'Yes', attach exhibit explaining nature and extent of alien or foreign ownership or control.	HD17 () <u>Yes</u> <u>No</u>

Basic Qualification Questions

74) Has the Assignee or Transferee or any party to this application had any FCC station authorization, license or construction permit revoked or had any application for an initial, modification or renewal of FCC station authorization, license, construction permit denied by the Commission? If 'Yes', attach exhibit explaining circumstances.	HD18 () <u>Yes</u> <u>No</u>
75) Has the Assignee or Transferee or any party to this application, or any party directly or indirectly controlling the Assignee or Transferee, or any party to this application ever been convicted of a felony by any state or federal court? If 'Yes', attach exhibit explaining circumstances.	HD19 () <u>Yes</u> <u>No</u>
76) Has any court finally adjudged the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition? If 'Yes', attach exhibit explaining circumstances.	HD20 () <u>Yes</u> <u>No</u>
77) Is the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee currently a party in any pending matter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.	HD21 () <u>Yes</u> <u>No</u>

78) Race, Ethnicity, and Gender of Assignee/Transferee (Optional):

Race:	American Indian or Alaska Native: HD38	Asian: HD40	Black or African-American: HD37	Native Hawaiian or Other Pacific Islander: HD39	White: HD41
Ethnicity: HD42	Hispanic or Latino:	Not Hispanic or Latino:			
Gender: HD36	Female:	Male:			

Fee Status

79) Is the applicant exempt from FCC application fees?	AD7	() Yes <u>No</u>
80) Is the applicant exempt from FCC regulatory fees?	AD8	() Yes <u>No</u>

Assignor/Transferor Certification Statements

1) The Assignor or Transferor certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. See *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).

2) The Assignor or Transferor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Typed or Printed Name of Party Authorized to Sign

81) First Name:	MI:	Last Name:	Suffix:
TA10	TA11	TA12	TA13
82) Title: TA14			
Signature: Do Not Send			83) Date: Do Not Send

Assignee/Transferee Certification Statements

- 1) The Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for *pro forma* assignments and transfers by telecommunications carriers. See *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998).
- 2) The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.
- 3) The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule.*
*If the applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.
- 4) The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment.
- 5) The Assignee or Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
- 6) The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.
- 7) The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the Commission's rules.

Typed or Printed Name of Party Authorized to Sign

84) First Name: HD31	MI: HD32	Last Name: HD33	Suffix: HD34
85) Title: HD35			
Signature: Do Not Send			86) Date: Do Not Send
<p>WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).</p>			

**Schedule for Assignments of Authorization
and Transfers of Control in Auctioned Services**

Assignments of Authorization

1) Assignee Eligibility for Installment Payments (for assignments of authorization only)

Is the Assignee claiming the same category or a smaller category of eligibility for installment payments as the Assignor (as determined by the applicable rules governing the licenses issued to the Assignor)?	TA19 (<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
If 'Yes', is the Assignee applying for installment payments?	TA20 (<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

2) Gross Revenues and Total Assets Information (if required) (for assignments of authorization only)

Refer to applicable auction rules for method to determine required gross revenues and total assets information

Year 1 Gross Revenues (current)	Year 2 Gross Revenues	Year 3 Gross Revenues	Total Assets:
TA15	TA16	TA17	TA18

3) Certification Statements

For Assignees Claiming Eligibility as an Entrepreneur Under the General Rule

Assignee certifies that they are eligible to obtain the licenses for which they apply.

For Assignees Claiming Eligibility as a Publicly Traded Corporation

Assignee certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

For Assignees Claiming Eligibility Using a Control Group Structure

Assignee certifies that they are eligible to obtain the licenses for which they apply.
Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

For Assignees Claiming Eligibility as a Very Small Business, Very Small Business Consortium, Small Business, or as a Small Business Consortium

Assignee certifies that they are eligible to obtain the licenses for which they apply.
Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

For Assignees Claiming Eligibility as a Rural Telephone Company

Assignee certifies that they meet the definition of a Rural Telephone Company as set out in the applicable FCC rules, and must disclose all parties to agreement(s) to partition licenses won in this auction. See applicable FCC rules.

Transfers of Control

4) Licensee Eligibility (for transfers of control)

As a result of the transfer of control, must the licensee now claim a larger or higher category of eligibility than was originally declared?	TA19 (<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
If 'Yes', the new category of eligibility of the licensee is: <u>TA34</u>	

Certification Statement for Transferees

Transferee certifies that the answers provided in Item 4 are true and correct.

Partition and Disaggregation Schedule

1) Partitioner/Disaggregator Call Sign: *MK5, MP5*

For the MK Record Type, also send MK19 – Action Performed

For the MP Record Type, also send MP11 – Action Performed

For the MF Record Type, also send MF9 – Action Performed

Geographic Area Partitioned

2) Defined Area to be Partitioned	3) Undefined Area to be Partitioned (Complete undefined geographic area attachment)	4) Population of Partitioned Area
<i>MP6, MF6</i>	Schedule C # Attached: <i>MP10, MF6</i>	<i>MP8</i>

5) Spectrum Disaggregated (in MHZ)

Spectrum Disaggregated		Spectrum Disaggregated		Spectrum Disaggregated	
Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency
<i>MF7</i>	<i>MF8</i>				

6) Coverage Requirements - Partitioning *MK10*

Option 1 Partitioner and Partitionee each certify that they will be subject to the same coverage requirements for their respective partitioned areas.

Option 2 Partitioner certifies that the 5 year coverage requirements have been or will be met and that the 10 year coverage requirements must be met for the entire license area.

Partitionee certifies that only the substantial service requirement for renewal expectancy for the partitioned area must be met by the end of the 10 year license term.

7) Coverage Requirements - Disaggregation *MK11*

Option 1 Disaggregator and Disaggragatee each certify that the Disaggregator will maintain responsibility for meeting the applicable coverage requirements for the entire license area.

Option 2 Disaggregator and Disaggragatee each certify that the Disaggragatee will maintain responsibility for meeting the applicable coverage requirements for the entire license area.

Option 3 Disaggregator and Disaggragatee each certify that they will share responsibility for meeting the applicable coverage requirements for the entire license area.

Undefined Geographical Area Partitioning Schedule

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

mc7 →

Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)	Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)
<i>mc8 - mc11</i>	<i>mc12 - mc15</i>	31.	
		32.	
For the MC Record Type, also send MC16 - Action Performed			
		34.	
		35.	
		36.	
		37.	
		38.	
		39.	
		40.	
		41.	
		42.	
		43.	
		44.	
		45.	
		46.	
		47.	
		48.	
		49.	
		50.	
		51.	
		52.	
		53.	
		54.	
		55.	
		56.	
		57.	
		58.	
		59.	
		60.	

Undefined Geographical Area Partitioning Schedule

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

mc7 →

Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)	Latitude (DD-MM-SS.S-D)	Longitude (DDD-MM-SS.S-D)
61. <u>mc8-mc11</u>	<u>mc12-mc15</u>	91.	
62.		92.	
For the MC Record Type, also send MC16 – Action Performed			
63.			
64.		94.	
65.		95.	
66.		96.	
67.		97.	
68.		98.	
69.		99.	
70.		100.	
71.		101.	
72.		102.	
73.		103.	
74.		104.	
75.		105.	
76.		106.	
77.		107.	
78.		108.	
79.		109.	
80.		110.	
81.		111.	
82.		112.	
83.		113.	
84.		114.	
85.		115.	
86.		116.	
87.		117.	
88.		118.	
89.		119.	
90.		120.	

**Schedule for Notification of Consummation of
Assignment of Authorization or Transfer of Control**

For the AD Record Type, send "C" in AD12 - Notification Code

Notification of Consummation of Assignment of Authorization or Transfer of Control

File Number of Assignment of Authorization or Transfer of Control: <i>HD3</i>	Date of Consummation: <i>AD13</i>
----------------------------------------------------------------------------------	--------------------------------------

Do not separate form from instructions - Send in Entire page

**Schedule for Notification of Consummation of
Assignment of Authorization or Transfer of Control**

Form FCC 603 Schedule D is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to notify the FCC that, within the required time period, either an assignment of authorization or transfer of control has been consummated. The FCC 603 Main Form must be filed in conjunction with this schedule.

**Schedule D
Instructions**

In this section, enter the file number of the application for Assignment of Authorization or Transfer of Control, and the date that the transaction was consummated.

**Schedule for Request for Extension of Time for
Consummation of Assignment of Authorization
or Transfer of Control**

For the AD Record Type, send "C" in AD12 – Notification Code

Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control

File Number of Assignment of Authorization or Transfer of Control: <i>HD3</i>	New Consummation expiration date requested: <i>AD13</i>
Attach exhibit describing circumstances/justification.	

Do not separate form from instructions - Send in Entire page

**Schedule for Request for Extension of Time for
Consummation of Assignment of Authorization
or Transfer of Control**

Form FCC 603, Schedule E, is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to request additional time to consummate an assignment of authorization or transfer of control. The FCC 603 Main Form must be filed in conjunction with this schedule.

**Schedule E
Instructions**

Enter the file number of the application for Assignment of Authorization or Transfer of Control, and the requested expiration date for the consummation. You must also attach an exhibit describing the circumstances and justification for this extension of time request.