

FCC Wireless Telecommunications Bureau
Application for Assignments of Authorization
and Transfers of Control

public burden estimate **Application Purpose** (Select only one) (#D1 - AA ONLY)

AA - Assignment of Authorization TC - Transfer of Control	AM - Amendment WD - Withdrawal	NT - Required Notification (for Consummation of Assignment or Transfer) EX - Request for Extension of Time (to Consummate Assignment or Transfer)
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2a) If this request is for an Amendment or Withdrawal, enter the File Number of the pending application currently on file with the FCC.	File Number
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2b) File numbers of related pending applications currently on file with the FCC:

Type of Transaction

3a) Is this a <i>pro forma</i> assignment of authorization or transfer of control?	() <u>Yes</u> <u>No</u>
3b) If the answer to Item 3a is 'yes', is this a notification of a <i>pro forma</i> transaction being filed under the Commission's forbearance procedures for telecommunications licenses?	() <u>Yes</u> <u>No</u>
4) For assignments of authorization only, is this a partition and/or disaggregation?	() <u>Yes</u> <u>No</u>
5) Does this filing request a waiver of the Commission's rules?	() <u>Yes</u> <u>No</u>
6) Are attachments being filed with this application?	() <u>Yes</u> <u>No</u>
7a) Does the transaction that is the subject of this application also involve transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which Commission approval is required?	() <u>Yes</u> <u>No</u>
7b) Does the transaction that is the subject of this application also involve transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required?	() <u>Yes</u> <u>No</u>

Transaction Information

8) How will the assignment of authorization or transfer of control be accomplished? Select One: () <input type="checkbox"/> Sale or other assignment or transfer of stock <input type="checkbox"/> Court Order <input type="checkbox"/> Other (voting trust agreement, management contract, etc.): _____ If required by applicable rule, attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.
9) The assignment of authorization or transfer of control of license is: (INVOLUNTARY = FROM ATTACHMENT) () <u>Voluntary</u> <u>Invuntary</u>

Licensee/Assignor Information

10a) Taxpayer Identification Number:		10b) SGIN:	
11) First Name (if individual): (1046 LICENSEE NAME FIELD)	MI	Last Name: (1046 LICENSEE NAME FIELD)	Suffix :
12) Entity Name (if not an individual): (1046 LICENSEE NAME FIELD)			
13) Attention To:			
14) P.O. Box: (1046 LICENSEE STATION LOCATION FIELD)	And /Or	15) Street Address: (1046 LICENSEE STATION LOCATION FIELD)	
16) City: (1046 LICENSEE STATION LOCATION FIELD)	17) State: (1046)	18) Zip: (1046)	
19) Telephone Number:		20) FAX:	
21) E-Mail Address:			

22) Race, Ethnicity, and Gender of Assignor/Licensee (Optional):

Race:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:			
Gender:	Female:	Male:			

Transferor Information (for transfers of control only)

23a) Taxpayer Identification Number:		23b) SGIN			
24) First Name (if individual):		MI:	Last Name:		Suffix:
25) Entity Name (if other than individual):					
26) P.O. Box:		And /Or	27) Street Address:		
28) City:			29) State:	30) Zip:	
31) Telephone Number:)			32) FAX:		
33) E-Mail Address:					

Name of Transferor Contact Representative (if other than Transferor) (for transfers of control only)

34) First Name:		MI:	Last Name:		Suffix:
35) Company Name:					
36) P.O. Box:		And /Or	37) Street Address:		
38) City:			39) State:	40) Zip:	
41) Telephone Number:			42) FAX:		
43) E-Mail Address:					

Assignee/Transferee Information

44) Assignee is a(n): (#39) <u>I</u> ndividual <u>C</u> orporation <u>U</u> nincorporated Association <u>L</u> imited Liability Corporation <u>T</u> rust <u>P</u> artnership <u>G</u> overnment Entity <u>C</u> onsortium <u>J</u> oint Venture					
45a) Taxpayer Identification Number:		45b) SGIN			
46) First Name (if individual): (#1)		MI:	Last Name: (#1)		Suffix:
47) Entity Name (if other than individual): (#3) or (#1 and #3)					
48) Name of Real Party in Interest:				49) TIN	
50) Attention To: (#5)					

51) P.O. Box: (#5)	And /Or	52) Street Address: (#5)
53) City: (#6)	54) State: (#7)	55) Zip: (#8)
56) Telephone Number: (#2)	57) FAX: (#4)	
58) E-Mail Address:		

Name of Assignee/Transferee Contact Representative (if other than Assignee/Transferee)

59) First Name: (#9)	MI: #9	Last Name: (#9)	Suffix: (#9)
60) Company Name: (#11)			
61) P.O. Box: (#13)	And /Or	62) Street Address: (#13)	
63) City: (#14)	64) State: (#15)	65) Zip: (#16)	
66) Telephone Number: (#10)	67) FAX: (#12)		
68) E-Mail Address:			

Alien Ownership Questions

69) Is the Assignee or Transferee a foreign government or the representative of any foreign government?	(#29) <u>Yes</u> <u>No</u>
70) Is the Assignee or Transferee an alien or the representative of an alien?	(#30) <u>Yes</u> <u>No</u>
71) Is the Assignee or Transferee a corporation organized under the laws of any foreign government?	(#31) <u>Yes</u> <u>No</u>
72) Is the Assignee or Transferee a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	(#32) <u>Yes</u> <u>No</u>
73) Is the Assignee or Transferee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country? If 'Yes', attach exhibit explaining nature and extent of alien or foreign ownership or control.	(#33) <u>Yes</u> <u>No</u>

Basic Qualification Questions

74) Has the Assignee or Transferee or any party to this application had any FCC station authorization, license or construction permit revoked or had any application for an initial, modification or renewal of FCC station authorization, license, construction permit denied by the Commission? If 'Yes', attach exhibit explaining circumstances.	(#34) <u>Yes</u> <u>No</u>
75) Has the Assignee or Transferee or any party to this application, or any party directly or indirectly controlling the Assignee or Transferee, or any party to this application ever been convicted of a felony by any state or federal court? If 'Yes', attach exhibit explaining circumstances.	(#35) <u>Yes</u> <u>No</u>
76) Has any court finally adjudged the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition? If 'Yes', attach exhibit explaining circumstances.	(#36) <u>Yes</u> <u>No</u>
77) Is the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee currently a party in any pending matter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.	(#37) <u>Yes</u> <u>No</u>

78) Race, Ethnicity, and Gender of Assignee/Transferee (Optional):

Race:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:			
Gender:	Female:	Male:			

Assignor/Transferor Certification Statements

1) The Assignor or Transferor certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for <i>pro forma</i> assignments and transfers by telecommunications carriers. See <i>Memorandum Opinion and Order</i> , 13 FCC Rcd. 6293 (1998).			
2) The Assignor or Transferor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.			
79) Typed or Printed Name of Party Authorized to Sign			
First Name: (1046 - NAME OF ASSIGNOR FIELD)	MI: 10	Last Name: (1046 - NAME OF ASSIGNOR FIELD)	Suffix:
80) Title: (1046 - NAME OF ASSIGNOR FIELD)			
Signature: (1046 - SIGNATURE FIELD. MUST BE AN ORIGINAL SIGNATURE IF MANUALLY FILED)			81) Date: (1046)

Assignee/Transferee Certification Statements

1) The Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for <i>pro forma</i> assignments and transfers by telecommunications carriers. See <i>Memorandum Opinion and Order</i> , 13 FCC Rcd. 6293 (1998).	
2) The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.	
3) The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule.* *If the applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.	
4) The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment.	
5) The Assignee or Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.	
6) The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.	
7) The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the Commission's Rules.	

82) Typed or Printed Name of Party Authorized to Sign

First Name: (#40)	MI: #40	Last Name: (#40)	Suffix: (#40)
83) Title: (#41)			
Signature: (#42 - MUST BE AN ORIGINAL SIGNATURE IF MANUALLY FILED)			84) Date: (#43)
WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).			

